DT03 Rec'd PCT/PTO 3 0 DEC 2007

PTO-1390 (Rev. 12-2004)
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TRANSMITTAL LETTER TO THE UNITED STATES **DESIGNATED/ELECTED OFFICE (DO/EO/US)**

ATTORNEY'S DOCKET NUMBER 09931-00034-US

U.S. APPLICATION NO. (If In wr. see 37 GFR 1.5)

| CONCERNING A SUE | DIVIDATION ON | DER 35 U.S.C. 3/1 | -01213301 | | | | |
|---|---|-------------------------------------|------------------------------------|--|--|--|--|
| INTERNATIONAL APPLICATION PCT/EP2003/006287 | NO. INTERN | ATIONAL FILING DATE 14 June 2003 | PRIORITY DATE CLAIMED 29 June 2002 | | | | |
| TLE OF INVENTION 2,1,3-BENOTHIADIAZOLES AS ELECTRONIC ACTIVE COMPONENTS | | | | | | | |
| <u> </u> | | | | | | | |
| APPLICANT(S) FOR DO/EO/US Philipp Stössel et al. | | | | | | | |
| Applicant herewith submits to the I | Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: | | | | | | |
| 1. x This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. | | | | | | | |
| 2. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. | | | | | | | |
| 3. This is an express request to begin national examination procedures (35 U.S.C. 371 (f)). The submission must include items (5), (6), (9) and (21) indicated below. | | | | | | | |
| 4. x The US has been elected (Article 31). | | | | | | | |
| 5. x A copy of the Internation | 5. x A copy of the International Application as filed (35 U.S.C. 371 (c)(2)) | | | | | | |
| a. x is attached hereto (| a. x is attached hereto (required only if not communicated by the International Bureau). | | | | | | |
| b. has been communi | b. has been communicated by the International Bureau. | | | | | | |
| c. is not required, as t | c. is not required, as the application was filed in the United States Receiving Office (RO/US). | | | | | | |
| 6. x An English language to | anslation of the Int | ernational Application as filed | (35 U.S.C. 371 (c)(2)). | | | | |
| a. x is attached hereto. | a. x is attached hereto. | | | | | | |
| b. has been previous | b. has been previously submitted under 35 U.S.C. 154(d)(4). | | | | | | |
| 7. Amendments to the cla | ims of the Internat | ional Application under PCT | Article 19 (35 U.S.C. 371 (c)(3)) | | | | |
| a. are attached hereto | a. are attached hereto (required only if not communicated by the International Bureau). | | | | | | |
| b. have been commur | b. have been communicated by the International Bureau. | | | | | | |
| c. have not been mad | c. have not been made; however, the time limit for making such amendments has NOT expired. | | | | | | |
| d. have not been mad | d. have not been made and will not be made. | | | | | | |
| 8. An English language tra | An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)). | | | | | | |
| 9. An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)). | | | | | | | |
| An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)). | | | | | | | |
| Items 11 to 20 below concern | | r information included: | | | | | |
| 11. x An Information Disclose | ure Statement und | er 37 CFR 1.97 and 1.98. | | | | | |
| 12. An assignment documer | An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. | | | | | | |
| 13. x A preliminary amendme | x A preliminary amendment. | | | | | | |
| 14. x An Application Data Sh | X An Application Data Sheet under 37 CFR 1.76. | | | | | | |
| 15. A substitute specification | A substitute specification. | | | | | | |
| 16. A power of attorney and | A power of attorney and/or change of address letter. | | | | | | |
| 17. A computer-readable fo | A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825. | | | | | | |
| 18. A second copy of the p | A second copy of the published International Application under 35 U.S.C. 154(d)(4). | | | | | | |
| 19. A second copy of the E | A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). | | | | | | |
| 0. Other items or information: | | | | | | | |
| | | | | | | | |

PTO-1390 (Rev. 12-2004)
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| | U.S. APPLICATION NOT KNOWN SEP 37 9 6 7 INTERNATIONAL APPLICATION NOT PCT/EP2003/006 | | | - | ATTORNEY'S DOCKET NUMBER 09931-00034-US | | | |
|--------------------|--|---|-------------|--------------------------------------|--|-----------------|-----------------|-------------|
| | 21. The following fees are submitted: | | | | Applicant Use | Office Use Only | | |
| | x a) Basic | x a) Basic national fee\$300.00 | | | | 00.00 | \$ 300.0 | 0 |
| | x b) Exami | x b) Examination fee\$200.00 | | | | \$ 200.0 | 0 | |
| | x c) Search | x c) Search fee\$500.00 | | | | \$ 500.0 | 0 | |
| | | TOTAL OF ABOVE CALCULATIONS = \$1000.00 | | | | \$ 1,000.0 | 0 | |
| | Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. | | | | | | | |
| | Total Sheets | tal Sheets Extra sheets Number of each additional 50 or fraction thereof (round up to a whole number RATE | | | | | | |
| | - 100 = | /50 = | | x \$250.00 | | x \$250.00 | | |
| | | 130.00 for furnishing claimed priority date | | r declaration later tha 192 (e)). | n 30 mont | hs | \$ | |
| | CLAIMS | NUMBER I | | IUMBER EXTRA | | ATE | | |
| | Total claims | | 20 = | | X | | \$ 0.0 | |
| | Independent cla MULTIPLE DEP | ENDENT CLAIM(s) | | ile) | X + | | \$ 0.0 \$ | |
| | | | (,, | TOTAL OF ABOVE | CALCULA | ATIONS = | \$ 1,000.0 | 0 |
| | Applicant are reduce | | status. See | 37 CFR 1.27. The fo | ees indicat | ed above | \$ | |
| | | | | | SUB | TOTAL = | \$ 1,000.0 | 0 |
| | Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492 (f)). | | | | | | | |
| | | | | | | | \$ 1,000.0 | 5 |
| | Fee for recording the enclosed assignment (37 CFR 1.21 (h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property | | | | | | | |
| | TOTAL FEES ENCLOSED = \$ 1,000.00 | | | | | | | 0 |
| 11/15/2005 KKAYPAG | /15/2005 KKAYPAG 1 00000008 032775 10519967 Amount to be refunded: | | | | | | | |
| 01 FC:1618 | L30.00 DA Amount to be charged: \$ | | | | | | | |
| | a. A check in the amount of \$ to cover the above fees is enclosed. | | | | | | | |
| ! | b. X Please charge my Deposit Account No. 03-2775 in the amount of \$ 1,000.00 to cover the above fees. A duplicate copy of this sheet is enclosed. | | | | | | | |
| | c. X The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 03-2775 A duplicate copy of this sheet is enclosed. | | | | | | | |
| į | d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card | | | | | | | |
| | information should not be included on this form. Provide credit card information and authorization on PTO-2038. NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the International Application to pending status. | | | | | | | |
| | SEND ALL CORRESPONDENCE TO: SIGNATURE: Ashley I. Pezzper) | | | | | | | |
| | CUSTOMER | NUMBER: 23416 | , 3 | | REGIS | STRATION N | 35,646 UMBER | |

10/519967 T12 Rec'd PCT/PTO 30 DEC 2004

PTO/SB/17 (12-04v2)
Approved for use through 7/31/2006. OMB 0651-0032
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| Effective on 12/08/2004. Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818). FEE TRANSMITTAL | | | Complete if Known | | | | |
|--|-----------------|---------------------------------|--------------------------------------|---------------|--------------------------|------------------|--------------------------|
| | | | Application Number No. | | Not Yet Assigned | | |
| | | | Filing Date Concurr | | Concurrently | rrently Herewith | |
| For FY 2005 | | | First Named In | ventor | Philipp Stössel et al. | | |
| FOT | <u>- Y 200</u> | 15 | Examiner Name | Э | Not Yet Assigned | | |
| Applicant claims small | entity status. | See 37 CFR 1.27 | Art Unit | Art Unit N/A | | | _ |
| TOTAL AMOUNT OF PAY | MENT | (\$) 2,500.00 | Attorney Docke | t No. | 09931-00034- | US | |
| METHOD OF PAYMENT | (check all | that apply) | _ | | | | |
| Check Credit Card Money Order Other (please identify): | | | | | | | |
| X Deposit Account | Deposit Account | t Number: 03-2775 | Deposit Account N | ame: Cor | nolly Bove Lo | odge & Hut | z LLP |
| For the above-identi | fied deposit | account, the Director | is hereby authoriz | ed to: (ched | k all that apply |) | |
| x Charge fee(s) | indicated be | elow | Charg | ge fee(s) ind | licated below, e | xcept for th | e filing fee |
| Charge any ad | | (s) or underpayment of and 1.17 | f x Credi | t any overpa | ayments | | |
| FEE CALCULATION | | | | | | | |
| 1. BASIC FILING, SEARCH | • | | | | | | |
| | FILIN | | EARCH FEES | | IATION FEES | ; | |
| Application Type | Fee (\$) | Small Entity Fee (\$) Fee | Small Entity Fee (\$) | Fee (\$) | Small Entity Fee (\$) | Fees P | aid (\$) |
| Utility | 300 | 150 500 | | 200 | 100 | | |
| Design | 200 | 100 100 | 50 | 130 | 65 | | |
| Plant | 200 | 100 300 | | 160 | 80 | | |
| Reissue | 300 | 150 500 | • | 600 | 300 | | |
| Provisional | 200 | 100 | | 0 | 0 | | |
| 2. EXCESS CLAIM FEES | 200 | 100 | , 0 | U | U | | Small Entity |
| Fee Description | | | | | | Fee (\$) | Small Entity Fee (\$) |
| Each claim over 20 (including | ng Reissues | | | | | 50 | 25 |
| | | | | | 100 | | |
| Multiple dependent claims | • | , | | | | 360 | 180 |
| Total Claims Extra C | laims | Fee (\$) Fee | Paid (\$) | М | ıltiple Depend | ent Claims | |
| 18 - 20 = | 40 | | | 1 | | | |
| | | | | | - | | |
| | × - | | | | | | |
| 3. APPLICATION SIZE FEE If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s). | | | | | | | |
| Total Sheets Extra Sheets Number of each additional 50 or fraction thereof Fee (\$) Fee Paid (\$) | | | | | | | |
| 100 = /50 | | | | | | | |
| 4. OTHER FEE(S) | | | | | | | |
| Non-English Specification, \$130 fee (no small entity discount) | | | | | | | |
| Other (e.g., late filing surcharge): 1631 Basic National Stage fee 300.00 | | | | | | | |
| 1632 National Stage search fee 500.00 | | | | | | | |
| 1633 National Stage examination fee 200.00 | | | | | | | |
| Petition to Revive1500.00 | | | | | | | |
| SUBMITTED BY O O O N | | | | | | | |
| Signature Usuk | 2 d C | Canll | Registration No. (Attorney/Agent) | 35,646 | Telephone | (302) 658 | 3-9141 |
| Name (Print/Type) Ashley I. Pezzner 50 Date December 30, 2004 | | | | | 30, 2004 | | |

| cation No. (if known): | Attorney Docket No.: 09931-00034-US |
|---|--|
| Certificate of Express | s Mailing Under 37 CFR 1.10 |
| I hereby certify that this correspondence Express Mail, Airbill No. EV 473530402 U | is being deposited with the United States Postal Service as JS in an envelope addressed to: |
| MS PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-145 | 50 |
| on December 30, 2004 Date | |
| Dione | Pickey |
| Dian | Signature Pick Vin |
| Typed or printed na | me of person signing Certificate |
| Registration Number, if applicable | Telephone Number |
| Note: Each paper must have its own coeach submitted paper. | ertificate of mailing, or this certificate must identify |
| Transmittal Letter PTO Form Application Data Sheet Fee Transmittal, Charge \$2,5 posit account 03-2775 English Translation of Interna | |

International Application w/Search Report

Preliminary Amendment Form PCT/IB/308 (1 page) Form PCT/RO/101 (5 pages)

Return Postcard

Petition for Revival of an International Application for Patent Designating the U.S. Abandoned Unintentionally under 37 CFR 1.137(b), w/ Fee

Information Disclosure Statement/PTO Form SB-08/References